

SPARK SECURITIES PVT. LIMITED

CIN No. : U6712WB1994PTC064172

CORPORATE MEMBERS :

NATIONAL STOCK EXCHANGE OF INDIA LTD.
BSE LTD.

Regd. Office :

10/4B, Lala Lajpat Rai Sarani

3rd Floor, Kolkata-700 020

Phone/Fax : 22908100/8104/8105

E-mail : spark@sparksecurities.com

DATA FOR SEPTEMBER 2026.

Sr. No	Received from	Carried forward from previous month.	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution on on time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

ALSO FOR RESEARCH ANALYST COMPLAINTS:

Number of complaints received during month against the RA due to impersonation by some other entity:

Note: In case of any complaints received against the RA due to impersonation of the RA by some other entity, the RA may adjust the number of such complaints from total number of received/resolved complaints while preparing the above table. Further, RA must close such impersonation related complaints after following the due process as specified by SEBI/ RAASB.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint, in days, in the current month divided by total number of complaints resolved in the current month.



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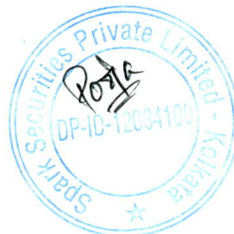
10/4B, Lala Lajpat Rai Sarani
3rd Floor, Kolkata-700 020
Phone/Fax : 22908100/8104/8105
E-mail : spark@sparksecurities.com**Trends of monthly disposal of complaints:**

<u>Sr. No</u>	<u>Month</u>	<u>Carried forward from previous month</u>	<u>Received</u>	<u>Resolved</u>	<u>Pending</u>
1.	April 2026	0	0	0	0
2	May 2026	0	0	0	0
3	June 2026	0	0	0	0
4	July 2026	0	0	0	0
5	August 2026	0	0	0	0
6	September 2026	0	0	0	0
7					
8					
9					
10					
11					
12					
13					
14					
GRAND TOTAL		0	0	0	0

***Should include complaint's of previous months resolved in the current month, if any.**

****Should include total complaints pending as on the last day of the month, if any.**

^Average resolution time is the sum total of time taken to resolve each compliant in the current month divided by total number of complaints resolved in the current month.



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Trend of annual disposal of complaints.

<u>Sr.No.</u>	<u>Year</u>	<u>Carried forward from previous year.</u>	<u>Received during the year</u>	<u>Resolved during the year</u>	<u>Pending at the end of the year.</u>
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	0	0	0
6	2025-26	0	0	0	0
Grand Total		0	0	0	0

